

1 Sarah Jane Quillin  
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47 **Abstract**

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50 **Background and Importance:** Urinary tract infections (UTIs) are a leading cause of  
51 preventable, hospital-acquired infections (HAIs) in acute care hospitals in the United  
52 States and a major public health issue. This analysis aims to characterize the urinary  
53 tract infections (UTI) that occurred in all patients of Shirley Ryan AbilityLab (SRAIab), an  
54 acute inpatient rehabilitation hospital in Chicago, with the goal of identifying future  
55 intervention targets to reduce UTI incidence and reduce the phenomenon of urine over-  
56 culturing. The results of this analysis will be used to design UTI-prevention interventions  
57 directed toward caregivers and patients, and strategies to prevent over-culturing and  
58 maximize resources, improving patient outcomes.

59 **Methods:** All hospital-acquired infections (HAIs) between April 1, 2017 and December  
60 31, 2018 were categorized by physiological type of infection, and the total proportion of  
61 UTIs was compared to the proportion of all other types of HAI. The percentage of  
62 positive HA UTIs that occurred were compared to the number of urine cultures collected  
63 to determine if over-culturing had occurred. UTIs were stratified by exposure to two  
64 types of urinary catheter, foley or intermittent catheter (CAUTIs or ICPs, respectively),  
65 or no exposure (no device UTIs), indicating no device was used. Infection rates and  
66 prevalence of all UTIs, foley or intermittent catheter, and UTIs that occurred with no  
67 exposure to a catheter device were calculated. The relative risk of developing a UTI  
68 upon the two types of catheter exposure was calculated compared to the risk of  
69 developing a UTI without this exposure. The odds ratio of developing a UTI upon no  
70 device exposure was calculated compared to device exposure. To control for differing  
71 populations of patients on each floor of SRAIab, all descriptive epidemiological

72 parameters were calculated facility-wide as well as separately for each floor of the  
73 hospital

74 **Results:** Data analysis showed a high overall proportion of HA-UTIs at SRAlab  
75 compared to total HAIs, with HA-UTIs comprising 74% of total HAIs. Over-culturing is  
76 present at SRAlab, as only 30% of total urine cultures were HA-UTIs. The burden of no  
77 device UTIs and ICPs is high at SRAlab, comprising 40% and 27% of total HAIs,  
78 respectively, compared to 6% for CAUTIs. Prevalence varied for CAUTIs, ICPs, and no  
79 device UTIs facility-wide and prevalence varied within floors of the hospital. Infection  
80 rates varied by floor of the hospital for CAUTIs, ICPs, and no device UTIs. Despite the  
81 high burden of no device UTIs at SRAlab, the relative risk of experiencing UTI was still  
82 highest upon any device exposure (foley catheter or intermittent catheter) compared to  
83 no device exposure. Intermittent catheter exposure presented a higher relative risk of  
84 UTI occurrence compared to foley catheter exposure.

85 **Conclusions:** Focusing on infection prevention interventions targeting UTIs at SRAlab  
86 is justified given the high overall proportion of HA-UTIs and prevalence of UTIs. Over-  
87 culturing represents a potential area of intervention at SRAlab. When designing  
88 interventions, it is important to analyze data separately for individual floors of acute care  
89 facilities with different patient demographics by floor. When assessing HA-UTIs, it is  
90 important to stratify by catheter device exposure, as different floors experience different  
91 burdens of infection by device type. No device-associated UTIs and intermittent  
92 catheter-associated UTIs represent significant areas for potential intervention at  
93 SRAlab, despite the public health literature's focus on CAUTIs.

94 .

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**96 Background and Statement of Public Health Relevance**

97

98 Healthcare-associated infections (HAIs) are a preventable major public health  
99 issue resulting in significant healthcare costs and affecting the quality of life of infected  
100 individuals. In the United States, HAIs occur in the average range of 4.5 infections per  
101 100 admissions, resulting in approximately 1.7 million infections annually(1). The high  
102 occurrence of HAIs results in significant mortality and morbidity, making HAIs a  
103 substantial cause of death in the United States. In addition to undesirable patient  
104 outcomes, HAIs represent a major healthcare cost due to additional treatment and  
105 extended hospitalizations. Depending on the type of HAI, the cost of treatment per case  
106 can range from \$1000-\$45,000(2) resulting in 5-10 billion dollars in annual healthcare  
107 costs(1). From both the perspective of improving patient care directly through  
108 decreasing mortality and morbidity, as well as improving patient care indirectly through  
109 decreased healthcare costs, designing interventions to prevent HAIs is an important  
110 focus of public health agencies, healthcare workers, infection preventionists, and  
111 healthcare administrators.

112 HAIs are considered preventable infections because actions on the part of  
113 healthcare providers and clinicians have been proven to greatly reduce the incidence of  
114 HAIs. Specific actions that have been shown to reduce the burden of HAIs in acute care  
115 settings include the proper usage of healthcare antiseptics, like handwashing  
116 compliance by healthcare providers, use of surgical scrubs by surgeons, and the use of  
117 antiseptic skin preparations on the patient before surgery or an invasive device

118 procedure(2). Hand hygiene programs are an indispensable component of HAI  
119 prevention, and include educating healthcare providers and patients, compliance  
120 assessments, as well as structural necessities like properly placed antiseptic dispensers  
121 and sinks within hospitals(3). In addition, it is important that clinicians comply with  
122 proper sterile technique during invasive or surgical procedures, and it is important to  
123 maintain a hospital free of environmental reservoirs for infectious organisms. Patient  
124 education on handwashing hygiene, post-procedural maintenance of wounds, and  
125 proper maintenance of devices are also necessary to prevent HAIs. Despite these  
126 known effective intervention strategies, HAIs are multifaceted cases comprised of  
127 myriad causative organisms, environmental factors, and individual health risks. Due to  
128 this complexity, proper cost-effective interventions are often uncertain. In order to  
129 assess the best HAI intervention strategy for a specific healthcare facility, it is important  
130 to consider the patient population and environmental concerns specific to that facility.

131         Currently, the Centers for Disease Control and Prevention (CDC) estimates that  
132 1 in every 31 patients acquires an infection, with the five most common types of HAIs  
133 accounting for 9.8 billion dollars in healthcare costs annually in the United States (4).  
134 HAIs occur most regularly when a patient has been exposed to an invasive medical  
135 device procedure or a surgical procedure(1). The five most common types of HAIs that  
136 require additional measures of care and prevention are catheter-associated urinary tract  
137 infections (CAUTIs), central-line associated bloodstream infections, *Clostridium difficile*  
138 infections of the gastrointestinal tract, ventilator-associated pneumonia, and infections  
139 at the site of surgery(5). Central-line associated infections (CLABSIs) occur in the  
140 bloodstream when an infectious organism gains access directly to the blood through the

141 insertion of a central line catheter for efficient, regular drug delivery to the bloodstream.  
142 Surgical site infections (SSIs), or wound infections, occur commonly following a surgical  
143 procedure, despite modern advances in infection prevention. Ventilator-associated  
144 pneumonia occurs in patients who require assistance breathing following endotracheal  
145 intubation when the site of insertion is rendered susceptible to infectious organisms or a  
146 contaminated device introduces organisms into the lungs. *Clostridium difficile* infections  
147 require multifaceted diagnosis using stool, and often occur in patients who've already  
148 taken courses of antibiotics. Other factors that may contribute to patients developing an  
149 HAI include healthcare workers transferring infectious organisms to patients, as well as  
150 subsets of patients being susceptible to infection due to compromised immune  
151 responses or infectious organism exposure to an open wound or site of an invasive  
152 medical device. It is estimated that 12-17 microorganisms cause over 80% of all HAIs,  
153 with many of the most commonly occurring organisms being gram-negative bacteria(6).

154 Urinary tract infections (UTIs), the focus of this study, comprise approximately  
155 40% of all HAIs (6-8) and are the most common HAI reported to the National Healthcare  
156 Safety Network ([https://www.cdc.gov/hai/ca\\_uti/uti.html](https://www.cdc.gov/hai/ca_uti/uti.html)). Clinically, a UTI occurs when a  
157 microbial organism enters the urinary tract system and grows to a density of more than  
158  $10^5$  colonies/mL in the urine(7). UTIs can be caused by Gram-negative and Gram-  
159 positive bacteria as well as fungi, but the most common causative agents of HAIs are  
160 *Escherichia coli*, *Klebsiella pneumoniae*, *Proteus mirabilis*, *Enterococcus faecalis*  
161 *and Staphylococcus saprophyticus*. Of these organisms, uropathogenic *Escherichia*  
162 *coli* (UPEC), is the most common causative agent of HA-UTIs(9). During a UTI, a  
163 uropathogen first adheres to the cells lining the urogenital tract, then establishes

164 colonization of this anatomical niche before ascending to colonize the bladder. In the  
165 bladder, the colonizing organisms can form a biofilm, or a multicellular microbial  
166 community living within an adhesive scaffold. Biofilms are harder to clear from the body,  
167 less responsive to antibiotics, and can result in recurring and persistent infections(9).  
168 Clinical symptoms of UTI can include bladder pain with urination, bladder urgency,  
169 increase in the frequency of urination, fever, suprapubic pain tenderness and  
170 costovertebral angle pain or tenderness ([https://www.cdc.gov/hai/ca\\_uti/uti.html](https://www.cdc.gov/hai/ca_uti/uti.html)). For  
171 these reasons, UTI diagnosis is confirmed upon testing of a patient's urine culture for  
172 causative organisms and with consideration of clinical symptoms described above(10).

173         HA-UTIs and community-associated UTIs are treated with antibiotics, and due to  
174 the sheer magnitude of the UTI burden worldwide, strains with multi-drug resistance are  
175 on the rise (7, 9). These antibiotic treatments permanently alter the composition of the  
176 resident symbiotic microflora within a patient's urogenital tract and gastrointestinal tract,  
177 and should thus only be prescribed when necessary, to clear an infection. In addition,  
178 populations of microorganisms naturally evolve genes that encode for resistance to  
179 antibiotics to which they are exposed, leading to growing populations of antibiotic  
180 resistant organisms. Antibiotic resistance itself is a major public health concern, and  
181 preventing infections can decrease the amount of antibiotics prescribed, as well as the  
182 persistence of antibiotic resistant organisms within a healthcare setting. A study in rural  
183 nursing home patients showed that antimicrobial stewardship efforts to avoid over-  
184 culturing for UTIs and over-prescription of antibiotics were effective in this setting (11).  
185 Antibiotic stewardship programs in acute care settings help monitor and analyze  
186 whether patients are prescribed the appropriate antibiotics for the appropriate length of

187 time at the appropriate dosage, all directed toward patient safety and curtailing rising  
188 antibiotic resistance. Assessment of whether over-culturing of urine in SRALab patients  
189 has occurred in this study will help to identify if interventions to curtail over-culturing and  
190 unnecessary antibiotic prescriptions may be necessary at SRALab.

191         General risk factors for UTI include female gender, age, prior UTI, and use of a  
192 urinary catheter device (9). According to the CDC, approximately 75% of HA-UTIs are  
193 associated with a urinary catheter, or device inserted into the bladder through the  
194 urethra to drain urine ([https://www.cdc.gov/hai/ca\\_uti/uti.html](https://www.cdc.gov/hai/ca_uti/uti.html)). An indwelling, foley  
195 catheter, hereon referred to as a foley catheter, is a tube inserted into the bladder  
196 through the urethra and an essential healthcare tool for managing patient voiding when  
197 patients are not able to void on their own, or when a patient's condition necessitates an  
198 alternative voiding mechanism(12). An estimated 15-25% of patients require a catheter  
199 device to assist in voiding of urine during a hospital stay (8). Taken together, these  
200 circumstances render catheter utilization a significant risk factor for HA-UTI that affects  
201 a significant portion of hospital patients. However, these statistics are specific to foley  
202 catheters, and do not include the risk of UTI associated with the use of a different type  
203 of alternative voiding devices called intermittent catheters.

204         Because a foley catheter remains in the urethra, insertion is a sterile process. In  
205 contrast, another type of catheter, called an intermittent catheter, is inserted and  
206 removed several times a day in a clean, but not formally sterile insertion procedure.  
207 Both types of catheter usage are associated with increased risk of HA-UTI, with a recent  
208 study citing foley catheter usage resulting in a 10-fold increase in HA-UTI risk, and  
209 intermittent catheter usage resulting in a 4-fold increase in HA-UTI risk for patients with



210 neurogenic bladder disease(5). As detailed below, patients at the Shirley Ryan  
211 AbilityLab (SRAlab) are often recovering from surgery and/or spinal cord injury, thus this  
212 patient population is likely to experience increased incidence of neurogenic bladder  
213 disease, which often requires long-term management with an intermittent catheter  
214 program. An analysis determining specific risk factors within this population could  
215 prevent HA-UTIs.

216 Overall, this study aims to analyze trends in UTIs among the inpatient population  
217 at SRAlab to identify the best possible targets for intervention. Long term, this project  
218 should aid in reducing overall UTI incidence and improving patient outcomes through  
219 reduced disease burden and reduced burden of disease complications. As the inpatient  
220 population at SRAlab is undergoing physical therapy, patients normally have a length of  
221 stay that is longer than acute care hospitals that are not rehabilitation facilities. Results  
222 from the patient population at SRAlab may be applicable to other rehabilitation hospitals  
223 with an average patient length of stay ranging from two weeks to over a year. In  
224 addition, the results may apply to other long term care facilities, such as facilities that  
225 provide skilled nursing facilities, long term acute care facilities or facilities that provide  
226 end of life care.

### 227 **Statement of Oversight**

228

229 When a urinary infection occurs within the SRAlab, data regarding relevant  
230 patient information is collected and analyzed, including date of admission, date of  
231 symptoms onset, treatment, location of the patient within the hospital, and any  
232 confounding data regarding secondary infections. Public health agencies require

233 surveillance and reporting of these hospital-acquired infections. This study was  
234 conducted using previously collected surveillance data between April 1, 2017 and  
235 December 31, 2018 by SRAlab for quality control and process improvement initiatives  
236 related to patient care with authority and oversight from the Infection Control  
237 Committee, Patient Safety and Hospital Accreditation and the Department of Physical  
238 Medicine and Rehabilitation. All institutional, city and federal guidelines regarding  
239 patient privacy and HIPAA compliance were observed during this analysis.

240

## 241 **Methods**

242

### 243 Patient Population

244

245       The Shirley Ryan AbilityLab is an inpatient rehabilitation facility with 240 inpatient  
246 beds. While SRAlab has satellite outpatient facilities in the city of Chicago, patient data  
247 from these facilities were not included in the analysis, as the study is focused on  
248 preventing HA-UTIs using inpatient data from the main hospital and characterization of  
249 infection prevention issues by hospital floor, data from inpatients admitted to floors 18  
250 through 25 of the main hospital were included in this study. As patients being treated in  
251 an outpatient facility have a length of stay less than 2 days, the criteria this study used  
252 to define a hospital-acquired infection, data from these patients were not relevant to the  
253 study. Patients at SRAlab include traumatic and non-traumatic brain injury, traumatic  
254 and non-traumatic spinal cord injury, stroke, neurology, cancer, transplant, general

255 orthopedic, amputation, and medically complex patients with acute or chronic  
256 comorbidities.

257

258 Source Data

259

260 Shirley Ryan AbilityLab patient database was scanned for laboratory cultures  
261 taken between April 1, 2017 and December 31, 2018. Cultures taken from outpatient  
262 facilities were excluded, as well as duplicate cultures taken from the same patient on  
263 the same day. Cultures taken from the same patient on different days were counted  
264 separately. Viral serology cultures were excluded from the analysis, as they are  
265 indicative of immunity or past exposure rather than acute infection, and did not meet the  
266 criteria for hospital-acquired symptomatic infections. This dataset provided the basis for  
267 the number of cultures collected from disparate anatomical sites, separated into blood,  
268 respiratory, wound, stool, and urine cultures. The number of cultures that yielded  
269 positive laboratory results alongside associated clinical symptoms of infection were  
270 considered true infections and coded and reported as hospital-acquired symptomatic  
271 infections for blood, respiratory, wound, stool, and urine cultures. Cultures that gave  
272 positive lab results in the absence of clinical symptoms were considered colonizations  
273 rather than true, symptomatic infections, and were excluded from inclusion in this  
274 analysis. If a culture came back positive for more than one organism, it was counted as  
275 one infection. Symptomatic hospital-acquired infections excluded any cultures that had  
276 been collected prior to the patient's third day of admission, as those were considered  
277 present upon admission. Upon stratification by type of UTI, UTIs occurring in patients

278 with a foley catheter were coded as catheter-associated urinary tract infections, or  
279 CAUTIs, UTIs occurring in patients with an intermittent catheter were coded as  
280 intermittent catheter present urinary tract infections, or ICPs, and UTIs occurring in  
281 patients with no exposure to a catheter device were coded as no device UTIs.

282

### 283 Data Analysis

284

285 In order to report descriptive statistics, the total number of true urine infections  
286 were counted and compared to the total number of infections from all other categorized  
287 anatomical sites, and divided by the patient population for total incidence of total UTIs.  
288 The percentage of hospital-acquired infections compared to all cultures collected was  
289 calculated to ascertain whether over-culturing, or taking many more cultures for analysis  
290 than contain true hospital-acquired symptomatic infections, was occurring. UTIs were  
291 then stratified by hospital unit and by type of UTI: CAUTIs, ICPs, and no device UTIs.  
292 Patient days, foley-catheter device days, and intermittent catheter device days were  
293 counted for use as denominators when calculating the infection rates of CAUTI, ICP,  
294 and no device UTI both facility-wide and for each floor, respectively.

295 Infection rates were calculated by dividing the number of infections of each type  
296 by 1000 device days or patient days for each floor. Denominator for CAUTI infection  
297 rate was 1000 foley device days; ICP infection rate denominator was 1000 intermittent  
298 catheter device days, and no device UTI infection rate denominator was 1000 patient  
299 days. Prevalence was calculated by dividing the number of infections of each type by  
300 the admissions for that floor within the time period for analysis. Relative risk and odds

301 ratios were calculated for each type of UTI (CAUTI, ICP, and Any Device) using the  
 302 following calculations:

303

	UTI	Yes	No
Device (CAUTI, ICP or Any Device)	Yes	A	B
	No	C	D

304

305 Relative risk =  $RR = (A/A+B)/(C/C+D)$ .

306 Odds ratio =  $OR = (A*D) / (B*C)$

307 Odds ratio for no device =  $1/OR$  for Any Device

308

309 Relative risks were calculated to ascertain the risk of a UTI occurring upon foley  
 310 catheter exposure compared to all other types of UTI, the risk of a UTI occurring upon  
 311 intermittent catheter device exposure compared to all other types of UTI, and the risk of  
 312 any device (foley and intermittent catheter combined) compared to no device UTI. As it  
 313 was not mathematically possible to calculate the relative risk of no device exposure  
 314 compared to any device exposure for this data set, the corresponding odds ratios were  
 315 calculated. As patients with no device UTIs did not have a device exposure, we  
 316 calculated the odds of experiencing a UTI with no device present compared to the  
 317 presence of any device.

318

## 319 **Limitations**

320

321           In order to design interventions to improve patient outcomes with respect to  
322 infection prevention, it is useful to stratify prevalence and infection rate data by floor.  
323 This way, floors with high burdens of infections can be identified and targeted,  
324 controlling for differing patient populations admitted to the hospital on each floor by  
325 prevalence, and different amounts of device utilization (device days and patient days)  
326 on each floor by infection rates. This study did not address any differences in patient  
327 population besides exposure to a catheter device or location of the patient by hospital  
328 floor. Specific differences in patient age, gender, previous exposure to UTI, or immune  
329 compromised state were not controlled for in this study, and could limit the applicability  
330 of results outside SRAlab. In addition, due to the public health literature focus on  
331 CAUTIs, there are a lack of external benchmarks to compare to the ICP and no device  
332 UTI prevalence values in this study. CAUTI prevalence was relatively low in the SRAlab  
333 population, due to low device utilization and possibly high prevention performance of  
334 patients and healthcare staff.

335

## 336 **Results**

337

### 338 Facility-Wide Trends in UTI Proportion and HAI Descriptive Statistics

339

340           The analyses yielded a set of summary statistics for the patient population  
341 represented in **Table 1**. Out of the 1974 total cultures of all types collected, 512 of these

342 represented hospital-acquired symptomatic infections. The criteria for categorizing a  
343 UTI as symptomatic and hospital-acquired removed a significant number of cultures  
344 from the total number of cultures collected. A total of 1407 urine culture were collected,  
345 but only 378 of these cultures represented symptomatic and hospital-acquired  
346 infections. Therefore, only 30% of the urine cultures collected were hospital-acquired  
347 symptomatic infections. The other 70% of urine cultures collected represent  
348 asymptomatic bladder colonization. Of the 512 hospital-acquired symptomatic infections  
349 of all types, 378 were UTIs. Therefore, 73.8% of all hospital-acquired infections were  
350 urinary tract infections.

351

#### 352 Facility-Wide UTI proportion by UTI Type

353

354 The data for all 378 hospital-acquired symptomatic urinary tract infections were  
355 stratified by the type of urinary tract infection to ascertain the respective infectious  
356 burden of CAUTIs, ICPs, and no device-associated UTIs, as represented in **Table 2**. Of  
357 the 378 total hospital-acquired symptomatic UTIs, 31 were CAUTIs, 140 were ICPs, and  
358 207 were no device UTIs. No device UTIs represent the highest proportion of all types  
359 of UTIs, comprising 55% of UTIs. CAUTIs and ICPs represented the other 8% and 37%,  
360 respectively. As UTIs comprised 73.8% of the total HAIs of all types, when these data  
361 are stratified by type of UTI, as illustrated in **Figure 1**, no device UTIs comprise 40.4%  
362 of total HAIs of all types, ICPs comprise 27.3% of total HAIs of all types, and CAUTIs  
363 comprise 6.0% of total HAIs of all types. All the other types of HAI at SRAIab make up  
364 just 26.2% of total HAIs compared to 73.8% HA-UTIs.

365

## 366 Facility-Wide Prevalence by UTI Type

367

368 Facility-wide UTI prevalence was calculated in order to characterize UTI trends in  
369 SRAIab, as well as compare to other prevalence values in the public health literature.

370 Facility-wide UTI prevalence by type of UTI (CAUTI, ICP, and no device) was calculated

371 by dividing the number of each type of UTI by the number of admissions to SRAIab

372 within the time period of this study (**Figure 2**). The average prevalence of all types of

373 HAIs given by the literature is 4.5 infections per 100 admissions, or 0.045(1). Different

374 UTI types gave differing results for prevalence, with no device UTIs giving high

375 prevalence overall, nearly 0.038. ICP prevalence facility-wide was 0.025, and CAUTI

376 prevalence was lowest at 0.005. In order to see if facility-wide prevalence represented

377 consistent values between floors, or if different floors varied, the prevalence values for

378 each floor, 18-25, was calculated.

379

## 380 Prevalence of UTI Types by Hospital Location

381 To standard for different amounts of admissions across different SRAIab floors,

382 prevalence by floor was calculated. No device prevalence ranged, on most floors,

383 between 30-50 infections per 1000 admissions, or 0.03-0.05. ICPs gave varying

384 prevalence values across floors, with some floors ranging very low, below 10 infections

385 per 1000 admissions, and some floors giving the highest prevalence values, nearing 80

386 infections per 1000 admissions, or 0.08. CAUTI prevalence was low in general, between

387 0.0-0.2. As prevalence calculations allowed for comparisons to the literature and



388 comparisons between UTI device type, this calculation did not control for differences in  
389 device utilization between patients.

390

391 UTI Infection Rates by Type of UTI by Hospital Location

392

393 The CDC estimates that 15-25% of acute care patients require a foley catheter  
394 during hospitalization, but it would be incorrect to assume that catheter usage is equally  
395 distributed throughout the units of a hospital. In order to control for different amounts of  
396 device utilization and time spent on each unit by floor, infection rates were calculated for  
397 patients exposed to a foley catheter, intermittent catheter, or no exposure to a device by  
398 floor (**Figure 3**). CAUTI infection rates were very low for floors 18 and 23, and similar,  
399 nearing 3 infections per 1000 foley catheter days, for floors 19-22, 24-25. ICP infection  
400 rates were highest on floors 18 and 20, with values just over 10 infections per 1000  
401 intermittent catheter days. UTIs that were not associated with a device were highest on  
402 floor 23, at 2.5 infections per 1000 patient days. Infection rates for different UTI types  
403 cannot be compared to one another because the denominators are different, but  
404 infection rates allow for comparison of infection rate between different floors of the  
405 hospital for each UTI type. Floors with the highest rates of infection within stratified  
406 types of UTI represent floors with the highest potential for intervention.

407

408 Relative Risk of Experiencing a UTI Upon Types of Device Exposure

409

410           The prevalence calculations showed a high burden of no device UTIs at SRALab,  
411 as well as a high burden of ICPs on certain floors. The infection rate calculations  
412 allowed for targeting the floors with the greatest rates, controlling for device utilization.  
413 These values, however, are not able to compare whether patients are at greater risk of  
414 developing a UTI upon foley catheter exposure, which is the focus of much public health  
415 literature. In order to compare the relative risk of acquiring a UTI using either type of  
416 catheter device, foley or intermittent, compared to a population that was not exposed,  
417 relative risk was calculated (**Table 4**). Notably, despite substantially high number of no  
418 device UTIs in the SRALab, the relative risk of acquiring an infection with intermittent  
419 catheter exposure ICP was 3.03 facility wide compared to any other type of UTI. In  
420 addition, the risk of using any device (CAUTI and ICP) was 1.81 compared to any other  
421 type of UTI. The relative risk of infection when exposed to an intermittent catheter was  
422 particularly high on floors 18 and 20, with relative risks of 18.76 and 4.38, respectively.  
423 In contrast to the literature, many of the relative risk values for CAUTI were below 1,  
424 indicating the possibility of protection, further discussed below.

425

#### 426 Odds of Acquiring a UTI without Device Exposure

427

428           In order to ascertain the odds of developing a UTI without device exposure  
429 compared to the population of patients using a device, the odds ratio for no device  
430 usage was calculated (**Table 5**). Despite the high number of no device UTIs, the odds  
431 ratio of acquiring a UTI with no device compared to any device was low overall, 0.53.

432 On floor 23, however, there may be an issue with increased risk of UTI when not using  
433 a device, with an odds ratio of 1.79.

434

## 435 **Conclusions and Discussion**

436

437 The results of **Table 1** justify the focus of this study on urinary tract infections, as  
438 the total number of urinary tract infections comprises the majority of hospital-acquired  
439 symptomatic infections discovered within the patient population, with a proportion of  
440 74% of infections (**Table 1 and Figure 1**). Patients in an acute rehabilitation hospital  
441 would uniquely benefit from infection prevention interventions targeting urinary tract  
442 infections. Patients at SRAlab undergo physical therapy, based on individual patient  
443 needs, to increase mobility and self-sufficiency, as well as heal from surgery or injury.

444 The small percentage of total hospital-acquired symptomatic urinary tract  
445 infections compared to the total number of urine cultures collected, 30%, indicates that  
446 over-culturing is occurring in the SRAlab with regard to urine cultures, and represents  
447 an area for process improvement. Interventions designed to help healthcare providers  
448 better ascertain when to culture a patient's urine at SRAlab would be useful to avoid  
449 over-culturing detected in this study. While a certain amount of over-culturing in a  
450 healthcare setting is necessary to avoid missing infections and preventing diagnosis,  
451 the amount of cultures that were not true hospital-acquired symptomatic infections  
452 (70%) indicates a significant opportunity to reduce over-culturing and efficiently use  
453 culturing and laboratory resources. The data indicating a high percentage of the

454 SRALab's hospital-acquired infections are urinary tract infections is in agreement with  
455 the CDC's reported incidence of UTIs in a hospital setting.

456         The most surprising and novel result of this study was the high no device UTI  
457 burden and high overall prevalence of no device UTIs are SRALab. No device UTIs  
458 comprised the highest proportion of UTIs facility-wide (**Table 2 and Figure 1**). In  
459 additional, a high facility-wide prevalence of ICPs and 18<sup>th</sup> and 20<sup>th</sup> floor ICPs was  
460 reported. The public health literature focuses on the high risk of HA-UTI upon foley  
461 catheter utilization, but, in this patient population, no device UTIs and ICPs represent  
462 significant areas with potential for infection prevention. This study indicates the need to  
463 expand UTI prevention focus to include UTIs not associated with a device and UTIs  
464 associated with intermittent catheter use within specific populations, as well as the need  
465 to analyze HAI data in a site-specific manner, as differences occur between acute care  
466 facilities.

467         Prevalence calculations that stratified by UTI type showed variation, facility-wide  
468 and by floor, for each of 3 types of UTI. This result justified stratification by UTI type in  
469 further data analysis. Different floors experienced different issues with regard to UTI  
470 prevalence, with a particularly high burden of infection for ICP on the 21 and 22 floors,  
471 and a high burden of no device UTIs on the 21-25 floors. Rates of infection within the  
472 same UTI type varied by floor, justifying the comparison of infection rates on different  
473 floors of SRALab. CAUTI rates were highest on floors 19, 20, 21, 22, and 24. ICP rates  
474 were highest on floors 18 and 20. No device infection rates were highest on the 23<sup>rd</sup>  
475 floor. Prevalence data, in addition to infection rates, indicate the differing patient

476 populations on each floor may account for some differences in infection parameters by  
477 floor.

478         Prevalence and infection rate data, when stratified by floor and UTI type, showed  
479 that different floors of the hospital incur different types of UTI infection prevention  
480 issues. As the SRAlab floors contain different types of patient populations within each  
481 floor, with some floors discussed in detail below, this result is understandable. Target  
482 interventions by each floor should address any patient populations with increased  
483 relative risk of incurring a UTI relative to the general hospital population. Specifically,  
484 healthcare protocols for intermittent catheter utilization, insertion technique, and care  
485 should be reviewed and targeted for improvement on floors 18 and 20.

486         The 18<sup>th</sup> floor is a medically complex pediatric unit where, due to the physical  
487 therapy needs of the pediatric population, foley catheter utilization is very rare and  
488 intermittent catheter utilization is higher. In this unit containing medically complex, non-  
489 adult patients, patient morbidity is higher than adults on other floors, due to issues like  
490 active chemotherapy. Similarly, the 20<sup>th</sup> floor of SRAlab patient population is medically  
491 complex, with immunocompromised and cancer patients, and adults with multiple  
492 comorbidities. The presence of less healthy populations on the 18<sup>th</sup> and 20<sup>th</sup> floor could  
493 be an underlying cause of higher relative risk of intermittent catheter use, and specific  
494 interventions should be targeted to improve processes on these floors.

495         The 23<sup>rd</sup> floor, where there is an increased risk of UTI when no device exposure  
496 is present compared to using any device, is a floor containing many stroke patients with  
497 neurogenic bladder disease. Stroke patients have retention problems or acute  
498 neurogenic bladder. Chronic neurogenic bladder is observed more on 21/22 spinal cord

499 units. Interventions targeting incontinence bladder training and UTI prevention in  
500 patients without devices on these floors are a worthwhile focus.

501         The relative risk values show that, despite the high number of no device UTIs  
502 occurring at SRAlab, there is still an increased risk of acquiring a HA-UTI when using  
503 any alternative voiding device. There may be increased odds on the 23<sup>rd</sup> floor, and it is  
504 worthwhile to look into process improvement with regard to urinary tract infection  
505 prevention in patients without devices on this floor. Other factors that may contribute to  
506 increased risk of non-device UTI, like gender or previous UTI history, were not  
507 addressed in this study and would be a worthwhile future direction for this research. The  
508 patient population most worth targeting at SRAlab, from prevalence, infection rate,  
509 relative risk values, and odds ratios, is the patient population utilizing intermittent  
510 catheter devices, especially on the 18<sup>th</sup> and 20<sup>th</sup> floors. CAUTI infections are relatively  
511 infrequent and have low relative risk of infection, indicating sterility during foley catheter  
512 insertion procedures and sterile upkeep are likely functioning well in this patient  
513 population.

514         The relative risk of exposure to a foley catheter device often yielded ratios less  
515 than 1, indicating that exposure to a foley catheter could be protective against urinary  
516 tract infection. This result is unexpected, as both the insertion process of an invasive  
517 foley catheter device, as well as the risk of biofilms forming on the catheter device while  
518 it is in use, predict the opposite result. At SRAlab, however, it is possible that the  
519 preventative care techniques and caution used by healthcare providers when inserting  
520 and caring for patients with foley catheters, results in these patients receiving a level of  
521 hygienic care above that used for patients without a device. This possibility, coupled

522 with the high overall proportion of no device UTI infections at SRAlab compared to total  
523 infections of all types, could result in a relative risk ratio less than 1. The result does not  
524 imply that the foley catheter itself is protective.

525 The stakeholders in this study are the patient population at SRAlab, the infection  
526 prevention department at SRAlab, and healthcare providers at SRAlab. The results of  
527 this study will be communicated to stakeholders during a regularly-occurring infection  
528 prevention meeting where clinicians, facility managers, infection preventionists, and  
529 healthcare administrators meets to discuss future infection prevention interventions and  
530 the results of prior infection prevention interventions. Experiencing a UTI while at  
531 SRAlab may delay a patient's rehabilitation goals by extending the length of stay,  
532 delaying the rehabilitation process due to illness, as well as causing negative side  
533 effects of necessary antibiotics during UTI treatment. The conclusions drawn from this  
534 population may have relevance outside the SRAlab, applying to other rehabilitation  
535 hospitals and long term care facilities with similar patient demographics.

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### 538 **Table and Figure Captions**

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540

541 **Table 1:** Infections were categorized by anatomical site. Other infections represent  
542 infections that do not fit into the larger categories, such as infections from cerebrospinal  
543 fluid, abscess drainage, or tissue biopsy. After collecting data from all infections  
544 cultured, infections from patients with a length of stay (LOS) shorter than 2 days were  
545 removed, as they did not fit the criteria for hospital-acquired (HA) infection. Then,  
546 infections that met the criteria for symptomatic infections were separated from all

547 cultures. The percentage of hospital-acquired infections represent the number of  
548 infections in patients with a length of stay greater or equal to 3 days that were also  
549 considered symptomatic. The denominator in this calculation is the total number of  
550 infections of all types that met the criteria of being symptomatic and hospital-acquired.

551

552 **Table 2 and Figure 1:** Infections were categorized by UTI type: Catheter-associated  
553 UTIs (CAUTI), Intermittent catheter-associated UTIs (ICP), and UTIs not associated  
554 with any device. The proportion of total infections references the total number of  
555 symptomatic infections in Table 1, 512.

556

557 **Table 4:** The relative risk of UTI when using a CAUTI, ICP, or any device was  
558 compared to a control population on the same individual floor or facility wide. The  
559 relative risk of CAUTI was compared to the risk of any other type of UTI. The relative  
560 risk of ICP was compared to any other type of UTI. The relative risk of using any device  
561 was compared to not using a device.

562

563 **Table 5:** The odds of acquiring a UTI was calculated by hospital floor or facility wide.  
564 The odds of CAUTI were compared to getting any other type of UTI. The odds of ICP  
565 were compared to getting any other type of UTI. The odds of getting a UTI with no  
566 device were compared to using any device, ICP or CAUTI.

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**Table 1: Summary Statistics for All Infections**

Infection Type	N Cultures	N Infections LOS >2	N Symptomatic Infections	% HA Infections
<b>Wound</b>	106	97	2	2.06
<b>Blood</b>	73	65	18	27.69
<b>Respiratory</b>	315	287	44	15.33
<b>Other</b>	3	3	1	33.33
<b>Stool</b>	70	N/A	69	N/A
<b>Urine</b>	1407	1269	378	29.79
<b>All Types</b>	1974	1721	512	29.75

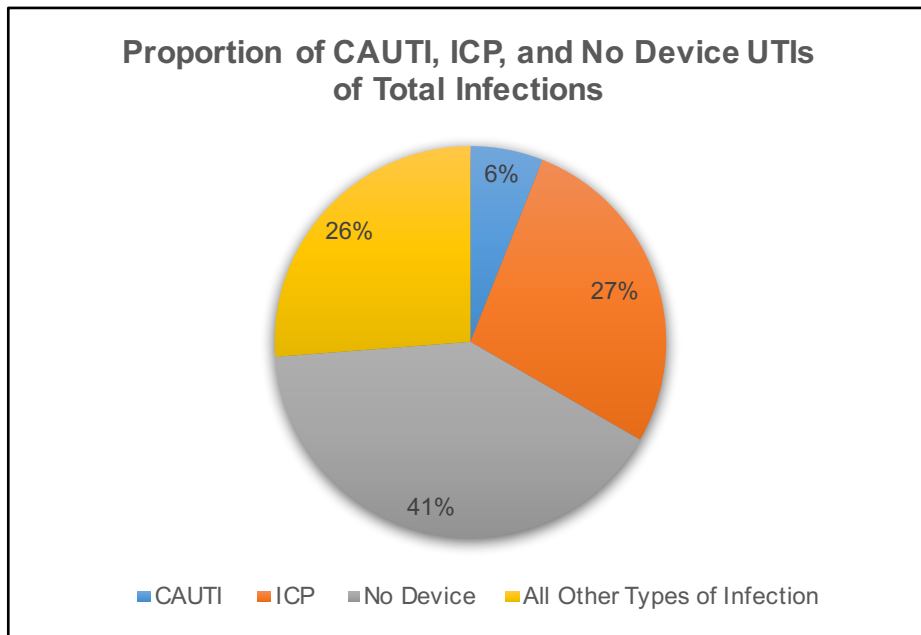
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**Table 2: Summary Statistics for all Urinary Tract Infection (UTIs)**

Type of UTI	N Cultures	N Symptomatic Infections	% Total Symptomatic Infections	% Total UTIs
<b>CAUTI</b>	106	31	6.05	8.20
<b>ICP</b>	733	140	27.34	37.04
<b>No Device UTI</b>	568	207	40.43	54.76
<b>All Types</b>	1407	378	73.83	100

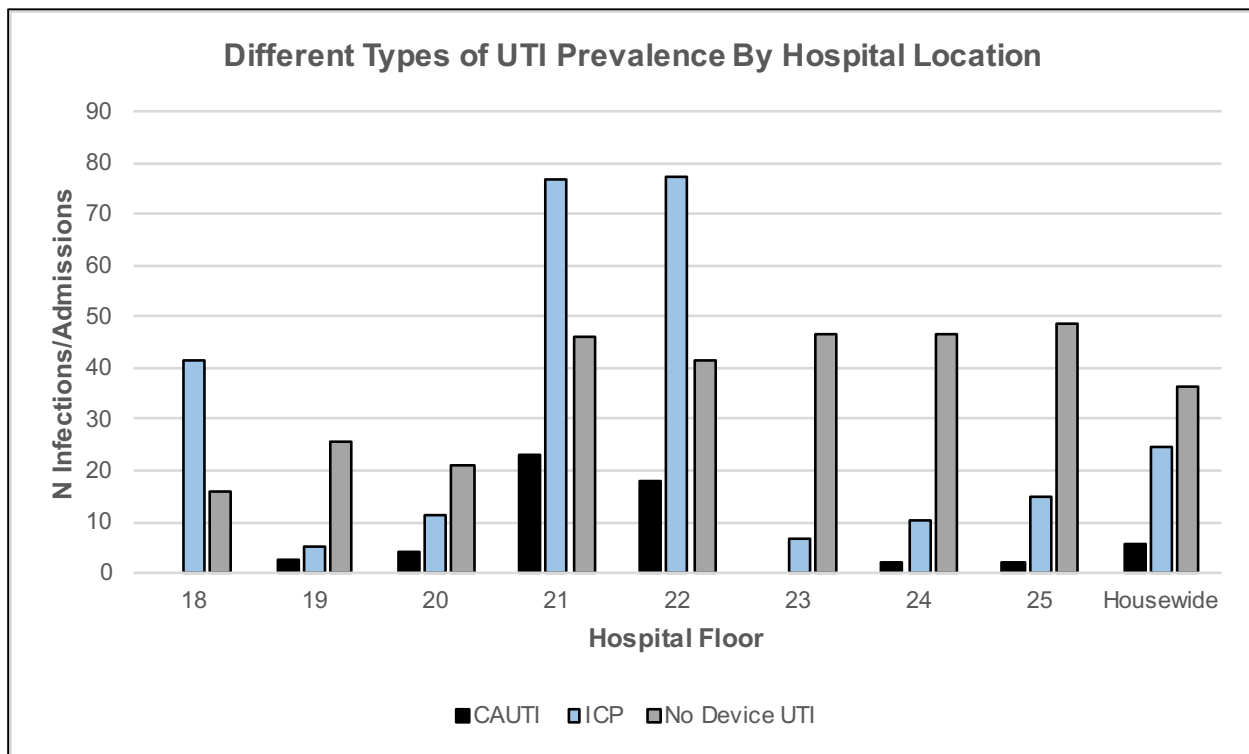
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599 **Figure 1: Different Types of UTI as a Proportion of Total Symptomatic Infections**  
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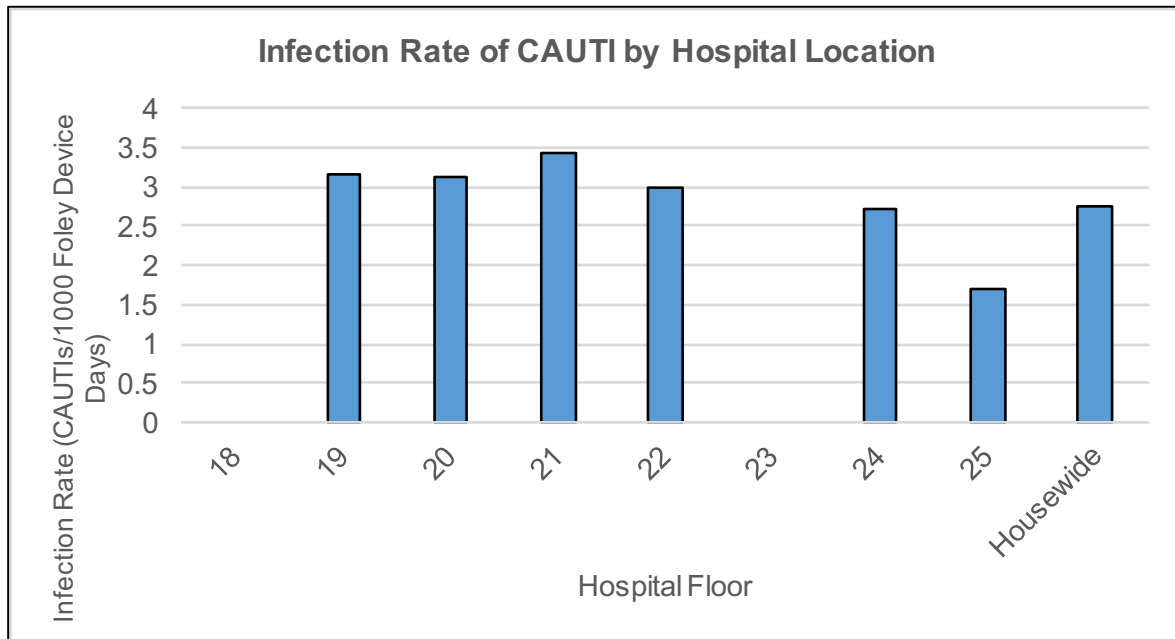
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**Figure 2: Prevalence of UTIs by Type of UTI and Hospital Location (UTIs/Admissions)**

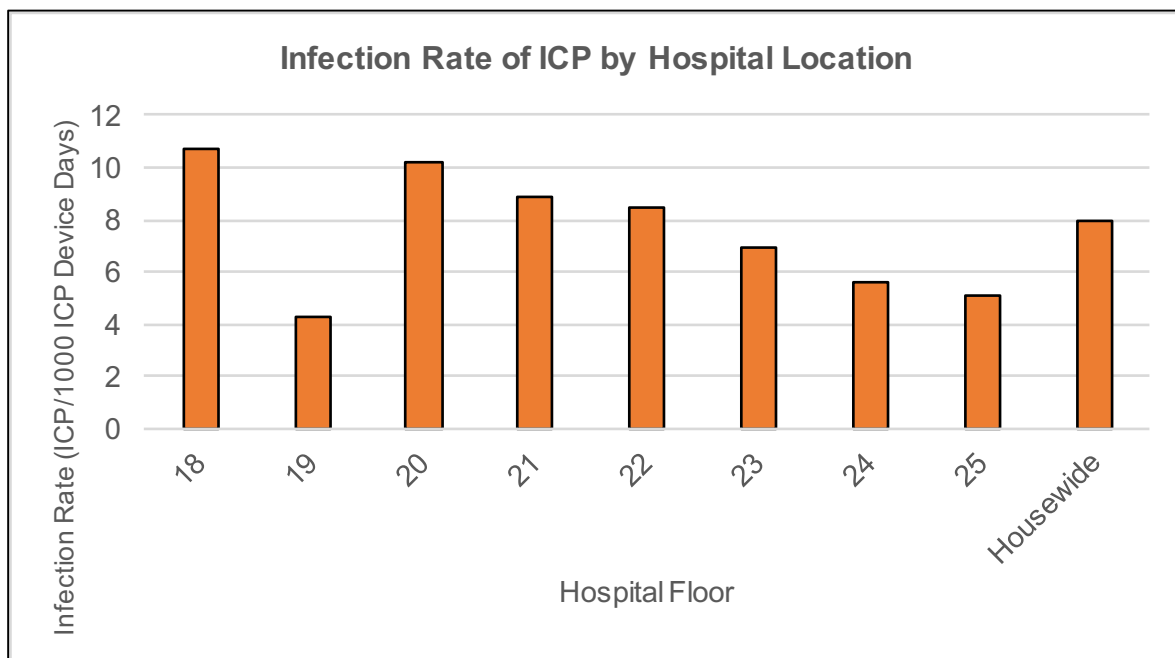


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609 **Figure 3: Infection Rate of CAUTI by Hospital Location**  
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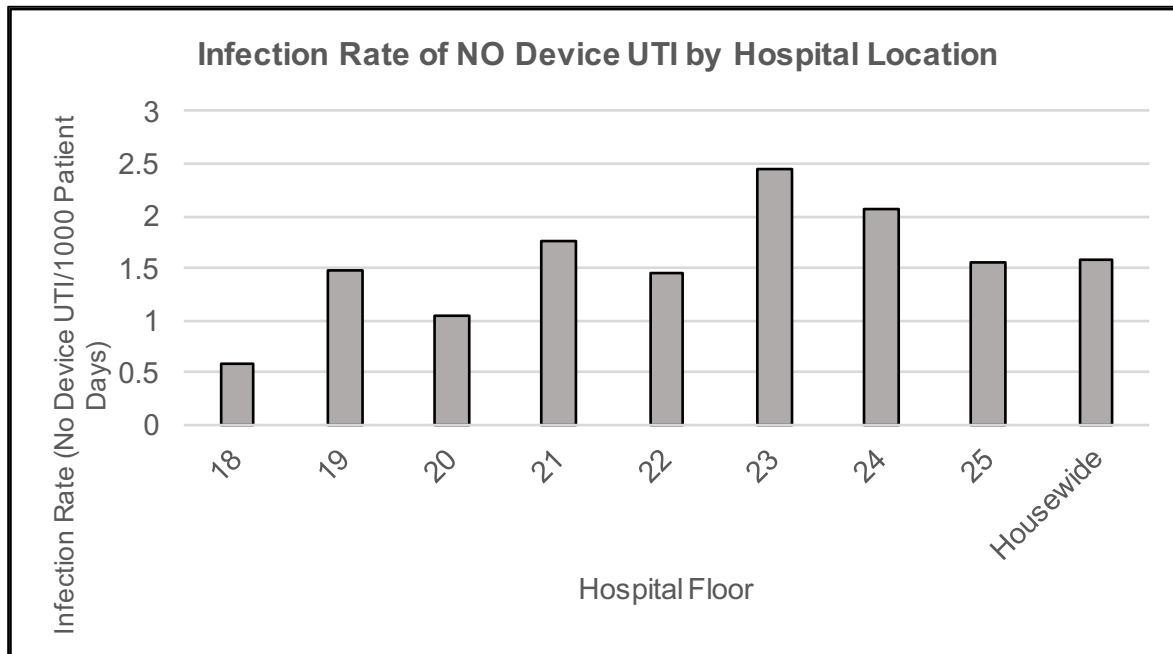
611 **Figure 4: Infection Rate of ICP by Hospital Location**  
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622 **Figure 5: Infection Rate of No Device UTI by Hospital Location**

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627 **Table 4: Relative Risk of Types of UTI by Hospital Location**

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Location	Facility Wide	18	19	20	21	22	23	24
RR CAUTI	0.50		0.71	1.35	0.36	0.28		0.33
RR ICP	3.03	18.76	1.98	4.38	1.72	1.79	1.28	1.54
RR Any Device	1.81	11.48	1.28	3.34	0.78	0.68	0.57	0.90

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631

632 **Table 5: Odds Ratios of Types of UTI by Hospital Location**

633

Location	Facility Wide	18	19	20	21	22	23	24
OR CAUTI	0.49		0.70	1.37	0.31	0.24		0.31
OR ICP	3.39	27.85	2.05	4.84	1.90	1.97	1.30	1.59
OR No Device	0.53	0.07	0.77	0.28	1.35	1.56	1.79	1.12

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