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| 7 | Association of Maternal Obesity with Infant Gut Microbiota: Evidence from Cebu, Philippines |
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24 Abstract

Objective: The objective of this study was to investigate the correlation between maternal obesity and infant gut microbiota. It was designed to test the hypothesis that infants born from obese mothers have different gut microbiotas than those born from non-obese mothers, which may impact the health of the infant during later stages of their life.

29 Methods: The study was conducted on a cohort of Filipino women and their newborn children 30 taking part in the Cebu Longitudinal Health and Nutrition Survey (CLHNS). Fecal samples from 31 pregnant and non-pregnant "index children" of the cohort were collected from November 2017 32 through February 2020, resulting in a total of 106 distinct samples. When these pregnant women 33 gave birth, infant fecal samples were taken both at two weeks of age and six months of age for a 34 total of 93 samples. DNA was extracted from ethanol-preserved fecal samples and a two-step 35 PCR was used to amplify the V4 region of the 16S rRNA gene in order to generate amplicon 36 data describing microbial communities. Sequence data were quality-filtered and denoised using 37 the bioinformatic platform QIIME2, and the resulting data were analyzed using the statistical 38 programming software R with the goal of associating infant gut microbiota with maternal 39 obesity.

40 **Results:** PERMANOVA tests comparing infant microbiome composition across maternal BMI 41 groups (i.e. underweight, normal, overweight/obese) were not statistically significant (p=.28), 42 and the association only slightly increases when controlling for geography (p=.25). ANOVA 43 tests revealed no statistically significant differences in infant gut microbiota diversity between 44 the maternal BMI groups ($F_2 = 0.778$). While these findings do not support a largescale effect of 45 maternal BMI on the infant gut microbiome, a few individual taxa were affected by 46 overweight/obese and underweight maternal BMI status.

| 47 | Conclusion: The effect of maternal BMI on the infant gut microbiome does not appear to be |
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| 48 | substantial in this sample of Filipino mothers and infants. While some individual taxa do appear |
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| 50 | unclear. |
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70 Introduction

71 Human evolution has taken place within a microbial context, and throughout this 72 coevolution, microbial communities (or microbiota) have become integral components of human biology and physiology.^{1, 2} Microbiota in the human gastrointestinal tract (gut) is one such 73 74 example, where microbiota enable key functions including the development and regulation of the 75 immune system, nutrient absorption and metabolism, and brain function (e.g. cognition and social behavior).¹⁻⁵ The delicate symbiotic relationship between gut commensal microbiota and 76 77 the human host is a reminder of the important role microbial communities play in human health as a result of their abundance, diversity and distribution.^{2, 6} However, host-microbiota 78 79 relationships are not immune to external influence and are easily disrupted by host factors such as diet, lifestyle habits, and antibiotic use.^{7,8} The resulting 'dysbiosis', or microbial imbalance⁸ 80 can lead to downstream negative health outcomes in humans.^{1, 6-8} For example, a phenomenon 81 82 known as the "fiber gap" is observable when populations transitioning to an industrialized 83 economy abandon traditional, high-fiber foods for the "Western Diet," which is high in saturated fats and sugar.¹ This "nutritional transition"⁹ results in a substantial decline in the diversity of the 84 85 gut microbiota and the relative abundance of specific microbial taxa and their metabolites, 86 ultimately putting people at risk for developing non-communicable diseases (NCDs) like obesity, diabetes, and cardiovascular disease (CVD) later in life.^{1, 6-10} These findings reinforce the 87 88 importance of gut microbiota in understanding the mechanisms through which the environment 89 influences human health, especially the progression and onset of chronic diseases. 90

91 Microbiome and Obesity

92 Many studies have observed correlations with diet, obesity, and the abundance of 93 microbes capable of efficiently digesting both plant-based foods and animal-derived products. 94 One such study by De Filippo et al. (2010) compared the fecal microbiota of children from 95 Burkina Faso (BF) to children of Italy (EU), who consume a more westernized diet that is high in 96 animal protein, fat, and sugar. The researchers discovered that BF children had a greater 97 abundance of Bacteroidetes, a class of bacteria capable of efficiently digesting complex 98 carbohydrates which ferment into SCFAs and confer protection from inflammation and colonic 99 disease; whereas the EU children had a depletion of Bacteroidetes and enrichment of Firmicutes, 100 which are better suited for digesting simple sugars and animal-derived products but are limited in their ability to ferment carbohydrates into SCFAs in the gut.⁸ Based on these findings, De 101 102 Filippo et al. (2010) concluded that the greater abundance of Firmicutes in the EU children, most 103 likely resulting from their westernized diet, may predispose this population to obesity and 104 metabolic disease in the future. These differences highlight the importance of not only diet, but 105 also geography, in the composition of microbial communities among populations with varying 106 genetic, geographical, and cultural origins.

107 Research comparing the microbiota of twins has also revealed the impact of shared earlyenvironment exposures on the gut microbiome.^{11, 12} In a study by Turnbaugh et al. (2009) 108 109 conducted in Missouri, the fecal microbiota of 31 monozygotic and 23 dizygotic twins and their 110 mothers were genotyped. Researchers discovered that while each individual's gut microbiota 111 varied, individuals from the same family shared a wide array of microbial genotypes and had a 112 more similar microbial community than unrelated individuals.¹¹ Based on these findings, the 113 researchers suggested that, at the gene level, there exists a core microbiome that plays an 114 important functional role in humans and deviations from this core may lead to altered

physiological states, such as obesity.^{11, 12} Furthermore, when comparing obese and lean twins, 115 116 obesity was associated with a higher abundance of Actinobacteria and lower abundance of Bacteroidetes.¹¹ As demonstrated in both human and mouse studies,¹¹⁻¹⁵ Actinobacteria has 117 118 shown to be more efficient at extracting energy from food. Moreover, these microbes are often abundant in the gut of overweight and obese individuals.¹³ Together, these findings support the 119 120 notion that obesity is associated with reduced microbiota composition and diversity, and further 121 demonstrates the influence that diet and gut microbiota have on the development of NCDs, like 122 obesity, in humans.

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124 Maternal Obesity and Infant Health

125 The Developmental Origins of Health and Disease (DOHaD) framework suggests that 126 negative early life environments are followed by an adult physiology that is more susceptible to poor health outcomes.¹⁶ The relationship between maternal and infant health provides a unique 127 128 opportunity to understand the DOHaD framework in practice because it explains how disruptions 129 in early life environments, such as nutritional input or maternal metabolic status, can directly 130 influence adult health. One example is the "thrifty phenotype hypothesis", which was proposed 131 in an attempt to explain the associations between poor fetal and infant growth and increased risk for type 2 diabetes and metabolic syndrome in adulthood.¹⁷ As several supporting studies have 132 demonstrated,¹⁷⁻²⁰ the quantity and quality of nutrition the fetus receives from the mother greatly 133 134 influences fetal development, such that deficiencies during this crucial developmental period 135 shape functional and metabolic abnormalities seen later in life.¹⁸ In this manner, nutrition deficits 136 compromise fetal health and result in poor health outcomes in adulthood, as the DOHaD 137 framework would predict.

| 138 | The relationship between maternal and infant health can be further assessed when viewed |
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| 139 | through the lens of obesity. During pregnancy, metabolic changes occur to prepare the mother's |
| 140 | body for the energetic demands of lactation, such as increasing adipose tissue that promotes |
| 141 | insulin resistance. ^{21, 22} For women who are obese, these metabolic changes are more likely to |
| 142 | lead to the development of gestational diabetes mellitus (GDM). ^{22, 23} GDM during pregnancy |
| 143 | increases fuel availability for the fetus, ²² but at a great cost to both mother and child. For GDM |
| 144 | women, this series of metabolic changes most often results in the development of type 2 diabetes |
| 145 | in the first decade after delivery ²² ; and for the developing fetus, increased risk for stillbirth, |
| 146 | obesity, cardiovascular disease, and/or glucose intolerance is common. ^{22, 23} Furthermore, |
| 147 | maternal obesity is an increased risk factor for childhood obesity. ²³ Understanding the |
| 148 | mechanisms by which offspring respond to environmental stimulus, such as nutrition or maternal |
| 149 | obesity, can help identify targeted approaches to improve health outcomes for humans and |
| 150 | inform future public health research in maternal and infant health. |
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152 Maternal Influence on Infant Gut Microbiome

153 There is much evidence to support that maternal-offspring exchanges of microbiota shape the natural colonization and maturation of the infant gut.²⁴ For example, studies investigating the 154 155 association of delivery mode and infant gut microbiota showed that vaginally delivered infants 156 acquired communities resembling the mother's vaginal communities (e.g. Lactobacillus, 157 Prevotella); whereas infants born via Cesarean section (C-section) fostered communities similar to those found on mother's skin (e.g. Staphylococcus, Corynebacterium).²⁴⁻²⁶ As these studies 158 159 show, an infant's first onslaught of microbiota is entirely dependent on their birth environment. 160 Additionally, the microbiota of infants born to mothers who consumed a high-fat diet during

161 pregnancy were more likely to display gut dysbiosis that favored increased selection pressures 162 against commensal species like Bacteroides, which may suggest a heightened risk for developing obesity in adulthood.²⁷ Furthermore, antibiotics during pregnancy are associated with a 163 substantial loss in microbiota diversity and increased risk of obesity and asthma among infants.²⁸⁻ 164 165 ³⁰ Breastfeeding practices have also been shown to play a critical and beneficial role in the 166 establishment of the infant immune system through the maternal transfer of commensal microbiota.^{31, 32} Although far from conclusive, the current body of evidence points to an 167 168 association between early life environments and increased risk for NCDs, like obesity, likely 169 mediated through microbiota. Together these findings highlight the importance of maternal-170 offspring exchanges of microbiota in shaping infant physiology and immune function and 171 emphasize the important role lifestyle factors such as delivery mode, diet, antibiotic use, and 172 breastfeeding play in influencing the composition and diversity of microbial communities in 173 infants.

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175 <u>Objective</u>

The objective of this study was to investigate the correlation between maternal obesity and the infant gut microbiota. It was designed to test the hypothesis that infants born from obese mothers have different gut microbiotas than those born from non-obese mothers (e.g. reduced diversity), which may impact the health of the infant during later stages of their life.

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181 Public Health Relevance

182 Results of this research could have major implications for the field of public health,
183 especially in regard to maternal and infant health. To begin, analyzing microbiota data from

184 infants and their mothers allows us to assess how the gut microbiota of biologically related 185 individuals compare. It also provides insight into the influence of maternal obesity on the 186 development of the infants' microbiome. Furthermore, comparing microbial communities in 187 infants across maternal BMI groups contributes to the growing volume of epigenetic data 188 surrounding environmental influence on human biology. If certain microbiota is found to be 189 significantly associated with obesity, health care providers and the public can take responsible 190 steps to reduce maternal and infants' risk. Identifying possible risk factors is an important first 191 step toward establishing treatment and prevention for obesity.

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193 Methods

194 Study Population, Sample Collection, and Study Design

195 This study was conducted on a cohort of Filipino women and their newborn children 196 taking part in the Cebu Longitudinal Health and Nutrition Survey (CLHNS). The CLHNS is a 197 prospective cohort study of women who were born between May 1, 1983 and April 30, 1984 in rural and urban municipalities in Cebu, Philippines³³. These women (known as "index child" or 198 199 IC), their mothers and their children (known as "index child's child" or ICC), caretakers, and 200 other household members have participated in survey data collection at various timepoints 201 throughout the study, resulting in a comprehensive dataset detailing information on diet, environment, socioeconomic status, and physiology³⁴⁻³⁷. 202 203 Fecal samples from pregnant and non-pregnant "index children" of the cohort - now 204 adult women aged 36 and 37 – were collected from November 2017 through February 2020, 205 resulting in a total of 106 distinct samples. When these pregnant women gave birth (n=53), infant

fecal samples were taken both at two weeks of age and six months of age for a total of 93 ICC

207 samples. All samples were stored in 95% ethanol within six hours of production and shipped 208 from Cebu, Philippines over the course of three years to the Amato laboratory at Northwestern 209 University and were stored at -80°C until processing for DNA extraction. 210 Clinical information collected on the "index children" included: reproductive status 211 (pregnant, non-pregnant); age; height; weight; smoking status (smoker, non-smoker); BMI; total 212 kcal intake; triceps skinfold; and geography (rural, urban). Clinical information collected on the 213 infants (ICC) born to these "index children" included: sex, height, weight, and geography. 214 215 Ethics 216 The original CLHNS study protocols were approved by the University of Pennsylvania 217 IRB, and written informed consent was obtained from all study participants. Consent forms, 218 patient surveys, fecal sample collection, and related materials for this specific sample collection 219 effort were approved by the Northwestern University Institutional Review Board (IRB), approval 220 number STU00205714. Data analysis of the fecal samples was considered exempt under 221 Northwestern IRB, as all samples were de-identified.

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223 Fecal DNA Extraction

224 DNA was extracted from ethanol-preserved fecal samples using the DNeasy Powersoil 225 Pro Kit protocol (Qiagen Inc, Valencia, CA, USA) at Northwestern University, Evanston, Illinois 226 according to the manufacturer's instructions and without modification. For each sample, 0.25g of 227 fecal matter was added to PowerBead tubes. The homogenate was pelleted and incubated at 65°C 228 with 60 µl of Solution C1, vortexed at maximum speed, and centrifuged at 10,000 g. The 229 resulting supernatant was transferred to a clean 2 ml collection tube containing 250 µl of

| 230 | Solution C2, vortexed, incubated at 4°C, and centrifuged at 10,000 g. This process was repeated |
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| 231 | with the supernatant from the prior step using 200 μ l of Solution C3. Then, 750 μ l of the |
| 232 | supernatant was transferred to a clean 2 ml collection tube containing 1.2 ml of Solution C4 and |
| 233 | briefly vortexed. In order to bind the DNA to a spin column filter, 675 μ l of the supernatant was |
| 234 | added to the spin column, centrifuged at 10,000 g, and the remaining flow through discarded. |
| 235 | This process was repeated three times before the column was rinsed with 500 μ l of Solution C5 |
| 236 | and centrifuged twice at 10,000 g. Finally, the spin filter was placed into a clean 2 ml collection |
| 237 | tube and 100 μ l of Solution C6 was added to the filter membrane and centrifuged. The eluted |
| 238 | DNA was stored at -80°C until use in PCR. |
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| 240 | PCR and Microbiome Sequencing |
| 241 | Using a modified version of the Earth Microbiome Project protocol ^{38, 39} and the |
| 242 | 515Fa/926R primer set, ⁴⁰ a two-step PCR was used to amplify the V4 region of the 16S rRNA |
| 243 | gene in order to generate amplicon data describing microbial communities. Amplicons were |
| 244 | barcoded and pooled in equimolar concentrations for sequencing on Illumina MiSeq V3 |
| 245 | (Illumina, San Diego, CA, USA) by the University of Illinois Chicago (UIC) Sequencing Core |
| 246 | under the supervision of Dr. Stefan Green at a depth of at least 20,000 sequences per sample |
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| 248 | Bioinformatic Analysis using QIIME2 |
| 249 | Raw sequence data were quality-filtered, paired-end sequences were joined, and |
| 250 | amplicon sequence variants (ASVs) were denoised using the QIIME2 v2019.4 wrapper for |
| 251 | DADA2. ⁴¹ A total of 2,612,351 reads with an average of 11,508 reads per sample were |
| 252 | generated. We then assigned taxonomy using a Naive Bayes classifier trained on the Greengenes |
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13_8 99% OTU database using the full 16S rRNA gene sequence lengths. Reads mapping to
chloroplast and mitochondria sequences were removed. We calculated the Shannon and Faith's
Phylogenetic diversity measures, as well as unweighted and weighted UniFrac distance matrices
describing pairwise similarity between samples, using the core-metrics-phylogenetic command,
rarefying the data to 7450 reads per sample to ensure maximum sample retainment.

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259 <u>Statistical Analysis using R</u>

The resulting sequence data and clinical information were analyzed using the statistical programming software R with the goal of testing the significance of the association of infant gut microbiota with maternal obesity. Maternal pre-pregnancy BMI's were categorized according to the World Health Organization (WHO) guidelines (underweight [<18.5 kg/m²], normal weight [18.5–24.9 kg/m²], overweight [25.0–29.9 kg/m²], and obesity [\geq 30.0 kg/m²]). However, due to the relatively low prevalence of obesity among this sample, we decided to group overweight and obese individuals (BMI \geq 25.0 kg/m²) for a total of three maternal BMI categories.

267 To test for differences in the gut microbiome composition of infants born to obese and 268 non-obese mothers, we ran a permutational analysis of variance (PERMANOVA) with both the 269 unweighted UniFrac and weighted UniFrac distances with 5000 permutations using the adonis 270 function of the vegan package for R, which is used for analyzing the diversity of ecological communities.⁴² This included testing the effect of multiple variables on overall gut microbiome 271 272 composition, including maternal BMI status (underweight, normal, overweight/obese), 273 geography (rural/urban), maternal energy intake (kcal/day), maternal smoking status 274 (smoker/non-smoker), and maternal triceps skinfold measurements. We ran each variable 275 individually in the model to determine significance. Variables whose association had a

| 276 | significance of .1 or below were included in the final model. Analysis of the variables maternal |
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| 277 | smoking status, maternal total energy intake, and maternal triceps skin fold measurement |
| 278 | revealed no association with infant gut microbiome composition, so these variables were not |
| 279 | included in the final model. However, we did control for geography (p=.09). |
| 280 | Differences in gut microbial diversity between the maternal BMI groups were assessed |
| 281 | using a one-way analysis of variance (ANOVA) by means of the Shannon diversity index, which |
| 282 | accounts for both abundance and evenness of the microbial taxa present. ⁴³ We tested for |
| 283 | differences in the relative proportions of specific microbial taxa using a linear discriminant |
| 284 | analysis (LDA) of effect size (LEfSe) method ⁴⁴ at the sequence variant, genus, family and |
| 285 | phylum levels on the web-based genome analysis tool Galaxy ⁴⁵ using an effect size of 2.0. |
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| 207 | Results |
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| 287 | Sample Characteristics |
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| 288 289 290 291 | Sample Characteristics Characteristics of the 53 pregnant women and 93 infant samples with complete clinical data are noted in Table 1 . As shown, the median maternal BMI was 21.0 kg/m ² , which is considered normal according to WHO standards. There is also a low prevalence of overweight |
| 288 289 290 291 292 | Sample Characteristics Characteristics of the 53 pregnant women and 93 infant samples with complete clinical data are noted in Table 1 . As shown, the median maternal BMI was 21.0 kg/m ² , which is considered normal according to WHO standards. There is also a low prevalence of overweight and obese individuals among this population, with only six women (11.3%) falling into this |
| 288 289 290 291 292 293 | Sample Characteristics Characteristics of the 53 pregnant women and 93 infant samples with complete clinical data are noted in Table 1 . As shown, the median maternal BMI was 21.0 kg/m ² , which is considered normal according to WHO standards. There is also a low prevalence of overweight and obese individuals among this population, with only six women (11.3%) falling into this |
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| 288 289 290 291 292 293 294 295 | Sample Characteristics Characteristics of the 53 pregnant women and 93 infant samples with complete clinical data are noted in Table 1 . As shown, the median maternal BMI was 21.0 kg/m ² , which is considered normal according to WHO standards. There is also a low prevalence of overweight and obese individuals among this population, with only six women (11.3%) falling into this category. <u>Microbiome Composition and Diversity</u> |
| 288 289 290 291 292 293 294 295 296 | Sample Characteristics Characteristics of the 53 pregnant women and 93 infant samples with complete clinical data are noted in Table 1. As shown, the median maternal BMI was 21.0 kg/m², which is considered normal according to WHO standards. There is also a low prevalence of overweight and obese individuals among this population, with only six women (11.3%) falling into this category. Microbiome Composition and Diversity Maternal BMI was not significantly associated with overall infant gut microbiome |

| 299 | statistically significant (p=.28), and the association with maternal BMI only slightly increases |
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| 300 | when controlling for geography (p=.25; Table 3). These findings suggest the gut microbiota of |
| 301 | infants born to mothers of different physical nutritional status (i.e., underweight, normal, or |
| 302 | overweight/obese) are not significantly different. |
| 303 | There were no statistically significant differences in infant gut microbiota diversity |
| 304 | between the maternal BMI groups (Table 4 ; $F_2 = 0.778$). Together these findings suggest that the |
| 305 | overall composition and diversity of gut microbiota of children born to mothers with varying |
| 306 | BMIs in this Filipino cohort do not significantly differ. |
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| 308 | Relative Abundance of Microbial Taxa |
| 309 | We found differentially abundant microbial taxa between infant groups with mothers |
| 310 | whose BMIs were categorized as underweight and overweight/obese. At the genus, family, and |
| 311 | phylum levels, there was one taxon enriched in each group (Figure 1), but at the strain/ASV |
| 312 | level there were two taxa enriched in each group, which are identified in Table 5 below. These |
| 313 | findings suggest that infants born to mothers with normal BMIs were not significantly different |
| 314 | from the other two groups. Further, at the genus, family, and phylum levels, infants born to |
| 315 | mothers with overweight/obese BMIs had a greater abundance of Bacteroidetes in their gut |
| 316 | microbiota; whereas infants born to mothers with underweight BMIs had a greater abundance of |
| 317 | Proteobacteria. |
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| 319 | Discussion |
| 320 | Our findings reveal that infant gut microbiota composition and diversity across maternal |
| 321 | BMI groups (i.e., underweight, normal, overweight/obese) in this Filipino cohort did not |

322 significantly differ (see Table 3-4). Therefore, these findings do not support the hypothesis that 323 infants born from obese mothers have different gut microbiota than infants born from non-obese mothers. Similar studies regarding this topic have reached conflicting conclusions.⁴⁶⁻⁵⁰ For 324 325 example, a study by Sugino et al. (2019) observed no difference in alpha diversity of infant gut 326 microbiota by maternal pre-pregnancy BMI category. However, Galley et al. (2014) found 327 higher alpha diversity and lower beta diversity in children of obese mothers when compared to 328 children with non-obese mothers, and Singh et al. (2020) observed that maternal overweight and 329 obesity were associated with greater infant gut microbiota diversity. Results of our study were 330 likely influenced by the low prevalence of obesity among this population (see Table 1), which 331 may have limited our ability to detect an effect of maternal BMI on infant gut microbiota 332 composition and diversity. Another factor to consider is the widely documented finding that Asian populations have a relatively higher percent body fat and lower BMIs.⁵¹⁻⁵⁴ Therefore, 333 334 measuring obesity by an excess of body fat and not an excess of weight would result in reduced cut-off points for obesity in Asian populations⁵² and likely would have influenced these results. 335 336 The results of this study are important for research investigating the epigenetic 337 inheritance of microbial communities because it questions the transmissibility of the microbiome 338 and the degree and route in which microbial communities are conferred from mother to child. Prior research has established that factors such as obesity,⁵⁵⁻⁵⁷ antibiotics,⁵⁷⁻⁵⁸ and mode of 339 delivery⁵⁸⁻⁵⁹ influence the microbiome. Therefore, in our study, one would expect to see 340 341 differences in the gut microbiota of the infants separated by maternal BMI categories. Since 342 these differences were not found, this suggests that transmission of maternal gut microbiota to 343 children may be less than we think or there may be other routes of vertical microbial transmission that influence infant microbiome development that were not considered here.⁶⁰ 344

345 Nevertheless, while our findings do not support a largescale effect of maternal BMI on 346 the infant gut microbiome, there were a few individual taxa that are affected by maternal BMI 347 status (e.g., overweight/obese and underweight) (see Table 5). For example, while the bacteria 348 Sutterella was identified in both maternal BMI categories, the underweight BMI group had a 349 greater relative abundance of *Desulfovibrio*, and the overweight/obese BMI group had a greater 350 relative abundance of *Prevotella copri*. These patterns could have health implications for infants. For example, *Desulfovibrio* is a genus of sulfate-reducing bacteria⁶¹ belonging to the phyla 351 352 Proteobacteria that has been associated with human infections like ulcerative colitis, inflammatory bowel disease, and bacteremia.⁶²⁻⁶⁶ However, the true incidence of infection by 353 354 this bacterium may be underreported due to its slow growth and challenging culture and identification.⁶² Conversely, P. copri is a genus of bacteria belonging to the phylum 355 Bacteroidetes with conflicting accounts of associations with human health.⁶⁷⁻⁷¹ For instance, P. 356 *copri* has been associated with gut dysbiosis in individuals with rheumatoid arthritis,⁶⁸⁻⁶⁹ while 357 358 other studies have associated P. copri with improved glucose and insulin tolerance in individuals who consume a high-fiber diet. ⁷⁰ Furthermore, *P. copri* is more prevalent in non-Westernized 359 360 populations, suggesting that differences in diet may be driving reduced prevalence in Westernized populations.⁷¹ Therefore, it is possible that these taxa have important effects on host 361 362 physiology. However, whether or not differences in the relative abundances of Desulfovibrio and 363 P. copri in our population result in distinct health outcomes remains to be seen. 364 Despite these findings, our study could not determine whether maternal BMI is 365 influencing these infant gut taxa directly or whether some other associated but unmeasured factor 366 was driving the minor association that was observed. For instance, differences in breast milk 367 composition due to maternal diet may influence the diversity and composition of the infant gut

microbiome in breastfed infants.⁷²⁻⁷⁴ Therefore, additional research is necessary to elucidate the
correlation between maternal obesity and the infant gut microbiota and whether feeding
practices, like breastfeeding and bottle feeding, drive this association.

371 Findings from this research may have public health implications. To begin, our study did 372 not reveal correlations with maternal obesity and infant gut microbiome composition and 373 diversity, nor did it identify microbiota that is significantly associated with obesity. Therefore, 374 more work is needed to determine the risk that microbiota pose to the development of obesity in 375 humans and what steps healthcare providers and the public will need to take in order to reduce 376 this risk. Additionally, more research is needed to understand the microbial mechanisms for 377 environmental effects on health, diet and obesity and how this contributes to the progression and 378 onset of chronic disease in humans. Future work investigating the impact of early-environment 379 exposures on health will need to consider the DOHaD framework in order to identify targeted 380 approaches to improve health outcomes for humans and inform future public health research in 381 maternal and infant health.

382 It is important to note that our study had several limitations. First, maternal BMI was 383 based on data from 2005; therefore, the relationship between current maternal BMI and infant 384 gut microbiome composition and diversity may be different than reported here. Moreover, 385 maternal BMI was analyzed as a categorical rather than continuous variable, and some maternal 386 BMI information was missing. Future analyses may wish to consider other measures of adiposity 387 that were not investigated here. Additionally, BMI cutoffs were based on Westernized standards and were not ethnic-specific, ⁵³⁻⁵⁴ which may have influenced the true prevalence of overweight 388 389 and obesity among this population. Lastly, the rarefaction depth of the sequence data can 390 produce a systemic bias because rare taxa are less likely to be detected at lower sequencing

391 depths, and statistical power is reduced when samples with low read depth are eliminated from 392 the data set. While we did maintain a rarefaction depth of 7450 reads in order to maintain the 393 greatest number of samples, the exclusion of samples (n=5) with less than 7450 reads reduced 394 the sample size and may impact the generalizability of these data.

395

396 Conclusion

397 In conclusion, the effect of maternal BMI on the infant gut microbiome does not appear 398 to be substantial in this sample of Filipino mothers and infants. While some individual taxa do 399 appear to be impacted by maternal BMI status, the mechanisms through which this occurs 400 remains unclear. Future studies examining the relationship between maternal diet and 401 components of breast milk on the infant gut microbiome may offer insight into the mechanisms 402 by which maternal obesity influences specific infant gut taxon abundances. Finally, utilization of 403 ethnic-specific BMI cut-offs and alternate measures of adiposity may provide further insight into 404 the effect that maternal obesity has on infant gut microbiota composition and diversity. 405 406 407 408 409 410 411 412

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618 **Tables and Figures**

619 **Table 1.** Sample characteristics of participants.

| Variable | Pregnant Mothers (n=53) | Infants 2 weeks (n=45) | Infants 6 months (n=48) |
|--|-------------------------------|------------------------------|-------------------------------|
| Female, <i>n</i> (%) | 53 (100.0) | - | - |
| Height (cm), mean <u>+</u> SD | 150.3 <u>+</u> 0.8 | - | - |
| Weight (kg), mean <u>+</u> SD | 47.6 <u>+</u> 1.7 | - | - |
| BMI (kg/m2), mean <u>+</u> SD | 21.0 <u>+</u> 0.6 | - | - |
| Overweight/obese, n (%) | 6 (11.3) | - | - |
| Rural, <i>n</i> (%) | 16 (30.2) | 12 (26.7) | 12 (25.0) |
| Smoker, <i>n</i> (%) | 5 (9.4) | - | - |
| Total Energy Intake (kcal), mean <u>+</u> SD | 1428.9 <u>+</u> 101.5 | - | - |
| Triceps Skinfold (cm), mean <u>+</u> SD | 21.5 <u>+</u> 0.9 | - | - |

620 **Missing data:** height, n=5 (9.4%); weight, n=10 (18.9%); BMI, n=7 (13.2%); smoker, n=1

621 (1.9%); total energy intake, n=5 (9.4%); triceps skinfold, n=5 (9.4%).

- 622
- 623 **Table 2.** Results of PERMANOVA comparing infant gut microbiota composition across
- 624 maternal BMI groups.

| | Df | F value | p-value |
|------------------|----|---------|---------|
| Body Mass | | | |
| Index (BMI) | 2 | 1.1062 | 0.2791 |

- **Table 3.** Results of PERMANOVA comparing infant gut microbiota composition across
- 626 maternal BMI groups while controlling for geography.

| | Df | F value | p-value |
|---------------|----|---------|---------|
| Geography | 1 | 1.53 | 0.09 |
| BMI*Geography | 2 | 1.14 | 0.25 |

- 628 Table 4. Results of ANOVA comparing infant gut microbiota diversity across maternal BMI
- 629 groups.

| | Df | F value | p-value |
|-------------------------|----|---------|---------|
| Shannon Diversity + BMI | 2 | 0.78 | 0.46 |

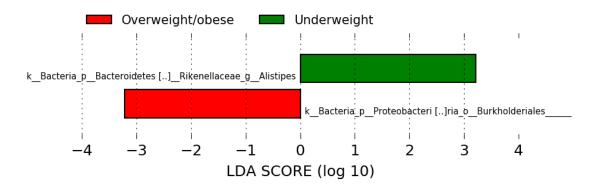


Table 5. Taxonomy of strains identified in LEfSe analysis.

| Identifier | Strain | BMI |
|----------------------------------|--|------------------|
| e9d60f0f9c98313da6c1231888323fcc | k_Bacteria; p_Proteobacteria; c_Betaproteobacteria; o_Burkholderiales; f_Alcaligenaceae; g_Sutterella; s_ | Overweight/obese |

| | a5c97a8b67924053479422a1c31a4d86 | k_Bacteria; p_Bacteroidetes; c_Bacteroidia; o_Bacteroidales; f_Prevotellaceae; g_Prevotella; s_copri | Overweight/obese |
|-----|------------------------------------|---|------------------|
| | f_4570bafaf63effb4ee8758eaf834ca31 | k_Bacteria; p_Proteobacteria; c_Deltaproteobacteria; o_Desulfovibrionales; f_Desulfovibrionaceae; g_Desulfovibrio; s_ | Underweight |
| | f_78289f1e6bc690928839f6aa73c6d334 | k_Bacteria; p_Proteobacteria; c_Betaproteobacteria; o_Burkholderiales; f_Alcaligenaceae; g_Sutterella; s_ | Underweight |
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